

Problem Sheet 1
Foundations

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J. Eisert, D. Hangleiter, I. Roth

1. **Density matrix formulation of Quantum mechanics** The basic ingredients of quantum mechanics are: states, observables and dynamics. In the *density matrix formulation* we can start from the following (incomplete) postulates:

I.) Each physical system is associated with a topological separable complex Hilbert space $(\mathcal{H}, \langle \cdot | \cdot \rangle)$. The **(mixed) state** of a quantum system is described by a non-negative, self-adjoint (trace-class) linear operator with unit trace, i.e. an element of $\mathcal{D} := \{\rho \in L(\mathcal{H}) \mid \rho = \rho^\dagger, \rho \geq 0, \text{Tr} \rho = 1\}$.

Remark: *In quantum information theory, it will be sufficient to consider finite dimensional Hilbert spaces most of the time. From now on, we will always assume that Hilbert spaces are finite-dimensional in the tutorials (if not otherwise stated).*

II.) **Observables** are represented by Hermitian operators on \mathcal{H} . The expectation value of an observable A in the state ρ is given by $\langle A \rangle_\rho = \text{Tr}(A\rho)$.

III.) The **time-evolution** of the state of a quantum system is given by a differential function $\rho : \mathbb{R} \rightarrow \mathcal{D}$ such that

$$\frac{d\rho}{dt} = -i[H, \rho],$$

where H is the observable associated to the total energy of the system.

Let us get some geometrical intuition about the set of quantum states.

- a) Show that \mathcal{D} is a convex set, i.e. that for all $\rho, \tau \in \mathcal{D}$ and $\lambda \in (0, 1)$ it holds that $\lambda\rho + (1 - \lambda)\tau \in \mathcal{D}$.
- b) Show that the set $\mathcal{P} = \{\pi \in L(\mathcal{H}) \mid \pi = \pi^\dagger, \pi^2 = \pi, \text{rank} \pi = 1\}$ of orthogonal projectors onto one-dimensional subspaces of \mathcal{H} is a subset of \mathcal{D} .

The *extreme points* of a convex set are those that can not be expressed as a convex combination of other points in the set, i.e. $x \in \mathcal{D}$ is an extreme point if there exists no $a, b \in \mathcal{D}, a \neq b$ and $\lambda \in (0, 1)$ such that $x = \lambda a + (1 - \lambda)b$.

- c) Show that \mathcal{P} is the set of extreme points of \mathcal{D} .

Most probably, you have originally learned another definition for quantum states in your first quantum mechanics course. Namely, **pure quantum states** are rays of the Hilbert space \mathcal{H} . The rays of a Hilbert space are the equivalence classes of unit vector that only differ by a phase factor. In symbols, we have $\text{rays}(\mathcal{H}) = \{|\psi\rangle \in \mathcal{H} \mid \|\psi\|_2^2 = 1\} / \sim$ with the equivalence relation: $|\psi\rangle \sim |\phi\rangle$ if there exist $\alpha \in \mathbb{R}$ such that $|\psi\rangle = e^{i\alpha} |\phi\rangle$. Often physicists tend to drop the equivalence relation and talk about unit vectors as quantum states instead of rays.

- d) Show that there is a one-to-one mapping between \mathcal{P} and $\text{rays}(\mathcal{H})$.
- e) Use this mapping to translate the postulates (I.-III.) to the language of pure states (rays).
- f) Argue that $\text{pur}(\rho) := \text{Tr}(\rho^2)$ is a measure for the ‘purity’ of a state $\rho \in \mathcal{D}$.

2. An example

We consider a system with Hilbert space $\mathcal{H} = \mathbb{C}^2$ and basis $\{|0\rangle, |1\rangle\}$. We define the states $\rho_1 = \frac{1}{2}(|0\rangle\langle 0| + |0\rangle\langle 1| + |1\rangle\langle 0| + |1\rangle\langle 1|)$ and $\rho_2 = \frac{1}{2}(|0\rangle\langle 0| + |1\rangle\langle 1|)$ and the observables $\sigma_z = |0\rangle\langle 0| - |1\rangle\langle 1|$ and $\sigma_x = |0\rangle\langle 1| + |1\rangle\langle 0|$.

- Is ρ_1 or ρ_2 a pure state, respectively? If this is the case, give the expression of the corresponding ray.
- Calculate the expectation values $\langle \sigma_z \rangle_{\rho_1}, \langle \sigma_z \rangle_{\rho_2}, \langle \sigma_x \rangle_{\rho_1}$ and $\langle \sigma_x \rangle_{\rho_2}$.
- Give an example of a physical system that can be described by $\mathcal{H} = \mathbb{C}^2$ and prescriptions to prepare the states ρ_1 and ρ_2 in this setting. What are the physical observables that correspond to σ_z and σ_x ?

3. Tensor products

The configuration space of a quantum system with multiple degrees of freedom is described by the tensor product of the Hilbert spaces of each degree of freedom. In the following exercise we will familiarise ourselves with the construction of tensor product spaces.

Let \mathcal{H}_1 and \mathcal{H}_2 be Hilbert spaces with basis $B_1 = \{|i\rangle_1\}_{i=1}^d$ and $B_2 = \{|j\rangle_2\}_{j=1}^D$, respectively. One can construct a new vector space $\mathcal{H}_1 \otimes \mathcal{H}_2$ by using the set of tuples $B_1 \times B_2 = \{(|i\rangle_1, |j\rangle_2) : |i\rangle_1 \in B_1, |j\rangle_2 \in B_2\}$ as a basis. The basis elements $(|i\rangle_1, |j\rangle_2)$ are also typically denoted by $|i\rangle|j\rangle, |i, j\rangle$ or $|i\rangle \otimes |j\rangle$. The last notation can be extended to a bilinear composition $\otimes : \mathcal{H}_1 \times \mathcal{H}_2 \rightarrow \mathcal{H}_1 \otimes \mathcal{H}_2$ by defining

$$|\psi\rangle \otimes |\phi\rangle := \sum_{i=1}^d \sum_{j=1}^D \langle i|\psi\rangle \langle j|\phi\rangle |i, j\rangle. \quad (1)$$

- What is the dimension of the vector space $\mathcal{H}_1 \otimes \mathcal{H}_2$? What is the Hilbert space of a system of n spin- $1/2$ particles? What is its dimension?
- Show that the operation $\otimes : \mathcal{H}_1 \times \mathcal{H}_2 \rightarrow \mathcal{H}_1 \otimes \mathcal{H}_2$ defined above is bilinear.
- Is $\otimes : \mathcal{H}_1 \times \mathcal{H}_2 \rightarrow \mathcal{H}_1 \otimes \mathcal{H}_2$ surjective? (Please argue.)

The dual (vector) space of a vector space \mathcal{H} is defined as $\mathcal{H}^* := \{\langle \psi| : \mathcal{H} \rightarrow \mathbb{C}, \text{linear}\}$. \mathcal{H}_1^* possesses a dual basis $\{\langle i|\}_{i=1}^d$ with respect to B_1 by requiring orthonormality $\langle i|j\rangle = \delta_{ij}$. The dual space is itself a vector space.

- Define an orthonormal basis of the dual space of $\mathcal{H}_1 \otimes \mathcal{H}_2$.
- Equip the dual space with a canonical scalar product and show that it becomes a Hilbert space. (Regarding the completeness, a comment is sufficient.)

We denote the vector space of linear operators on \mathcal{H} by $L(\mathcal{H}) = \{X : \mathcal{H} \rightarrow \mathcal{H}, \text{linear}\}$.

- Show that $L(\mathcal{H}_1)$ is isomorphic to $\mathcal{H}_1 \otimes \mathcal{H}_1^*$.
- Use the isomorphism established in the previous task to define the tensor product $A \otimes B$ of two operators $A, B \in L(\mathcal{H})$.
- Show that the following identities hold for all operators $A, B, C : \mathcal{H}_1 \rightarrow \mathcal{H}_1$ and vectors $|\phi\rangle, |\psi\rangle \in \mathcal{H}_1$:
 - $(A \otimes B)(|\phi\rangle \otimes |\psi\rangle) = (A|\phi\rangle) \otimes (B|\psi\rangle)$
 - $(A \otimes B)(C \otimes D) = (AC) \otimes (BD)$

4. **Local and realistic theories** The violation of so-called Bell inequalities by quantum mechanics lies at the (or rather, a) heart of the way in which quantum information is distinct from classical information (as you will see in the next lecture). Bell inequalities (and their violation by quantum mechanics) capture rigorously the discomfort that Einstein, Podolsky and Rosen (EPR) famously formulate in their 1935 paper, demanding that

“In a complete theory there is an element corresponding to each element of reality.”

In this exercise, we want to investigate theories of the type EPR consider *complete*, namely, *local and realistic* theories.

To this end we consider an EPR-type setting, in which two parties, Alice and Bob are space-like separated and receive particles sent from and *prepared* by a third party, say, Charlie. Alice and Bob are each capable of performing certain *tests* or measurements on those particles by adjusting their measurement apparatus.

More precisely, Alice and Bob (randomly) choose between two configurations $s \in \mathcal{S} = \{\pm 1\}$ of their measurement apparatus as soon as the particles arrive. The outcomes of their tests A, B may be ± 1 and depend on how Charlie prepares the particles, the details of his apparatus, and so on. All of Charlie’s parameters described by some configuration λ in some configuration space Λ as well as the distribution $p(\lambda)$ according to which he picks a configuration are unknown to Alice and Bob, while, of course, their measurement setting is known to them. We now make the following two assumptions about this setting:

- *Realism*: The configuration λ and the measurement setting s uniquely determine the outcome of the tests. Consequently, we can assign deterministic functions

$$A, B : \mathcal{S} \times \mathcal{S} \times \Lambda \rightarrow \{\pm 1\},$$

for Alice’s and Bob’s test, respectively.

- *Locality*: Alice’s performing her test (somewhere space-like separated) does not influence the result of Bob’s measurement, and vice versa. This implies that in fact the outcome of A, B only depends on the respective test configuration of Alice or Bob so that we can write

$$\begin{aligned} A : \mathcal{S} \times \Lambda &\rightarrow \{\pm 1\}; \quad (s, \lambda) \mapsto A_s(\lambda) \\ B : \mathcal{S} \times \Lambda &\rightarrow \{\pm 1\}; \quad (s, \lambda) \mapsto B_s(\lambda) \end{aligned}$$

We will now look at the S -parameter

$$S = \langle A_1 B_1 + A_2 B_1 + A_1 B_2 - A_2 B_2 \rangle_\lambda \tag{2}$$

Here, $\langle X \rangle_\lambda = \sum_{\lambda \in \Lambda} X(\lambda) p(\lambda)$ is the expectation value of the random variable X that depends on λ .

- Derive an upper bound on the absolute value of the S -parameter for a local realistic theory of the type described above.

Now assume that Charlie does not send an arbitrary pair of particles, but a quantum-mechanical maximally entangled state $|\psi\rangle := (|00\rangle + |11\rangle)/\sqrt{2}$ where the first tensor copy is sent to Alice and the second to Bob. Alice measures either $A_1 = X \otimes \mathbb{1}$ or $A_2 = Z \otimes \mathbb{1}$ on her copy of the state, while Bob measures either $B_1 = \mathbb{1} \otimes (Z + X)/\sqrt{2}$ or $B_2 = \mathbb{1} \otimes (X - Z)/\sqrt{2}$.

- Calculate S in this setting. What do you conclude?

This example is an instance of the more general question, what values S can take if the outcomes of tests as above are described by quantum mechanics. In this case, Charlie's configuration space is just the space of quantum states on two copies of a Hilbert space, which we take to be the density matrices on two qubits: $\mathcal{D}(\mathbb{C}^2 \otimes \mathbb{C}^2)$. The tests Alice and Bob are allowed to perform are just two dichotomic measurements (i.e., measurements with outcomes ± 1) each, so $A_i \otimes \mathbb{1}$, and $\mathbb{1} \otimes B_i$, $i = 1, 2$, with $A_i, B_i \in \mathcal{B}(\mathbb{C}^2)$.

We can therefore write the S -parameter as

$$S_{\text{qm}} = \langle A_1 \otimes B_1 + A_1 \otimes B_2 + A_2 \otimes B_1 - A_2 \otimes B_2 \rangle_{\rho}, \quad (3)$$

where $\langle \cdot \rangle_{\rho} = \text{Tr}[\cdot \rho]$ now denotes the quantum-mechanical expectation value.

c) Show that

$$(A_1 \otimes B_1 + A_1 \otimes B_2 + A_2 \otimes B_1 - A_2 \otimes B_2)^2 = 4\mathbb{1} - [A_1, A_2] \otimes [B_1, B_2], \quad (4)$$

to derive an upper bound on S_{qm} .

Further reading:

- Einstein et al. (1935): The original EPR paper.
- Popescu and Rohrlich (1994) derive more general upper bounds on S for so-called non-signalling theories.
- Hoban et al. (2011) give a unified description of Bell inequalities and develop a geometric perspective on them.

References

- Einstein, A., B. Podolsky, and N. Rosen (1935, May). Can Quantum-Mechanical Description of Physical Reality Be Considered Complete? *Phys. Rev.* *47*(10), 777–780.
- Hoban, M. J., J. J. Wallman, and D. E. Browne (2011, December). Generalized Bell Inequality Experiments and Computation. *Physical Review A* *84*(6). arXiv: 1108.4798.
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